BAJAJ FINSERV MULTI CAP FUND An open ended equity scheme investing across large cap, mid cap, small cap stocks NEW FUND OFFER APPLICATION FORM

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Вајај	FINSERV
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Application No. Offer for Units of Rs. 10 Per Unit for cash during the N	lew fund Offer Period and at NAV based pric	ses upon re-onening, NEO Star	t Date : 6th February 2025: NEO C	losure Date: 20th February 2025	
Scheme Name & Type of the Scheme		Product Labelling		Scheme Risk-o-m	eter# Benchmark Risk-o-meter#
Bajaj Finserv Multi Cap Fund An open ended equity scheme investing across large cap, mid cap, small cap stocks	This product is suitable for investo wealth creation over long term to invest predominantly in equi cap companies. Investors should consult their fina for them	ty and equity related inst		suitable The risk of the sch	
#The product labelling assigned during the New Fu post NFO when actual investments are made.		of the Scheme Characteristic	s or model portfolio and the same	may vary Very High	i.e. Nifty 500 Multicap 50:25:25 TRI is Very High
1. DISTRIBUTOR INFORMATION					(Please Refer instruction no. 1
Broker Code/ ARN / RIA** / PMRN** Code	Sub Broker /Agent's ARN Code	Bank Branch Code	Internal Code for Sub - Agent / Employee	EUIN*	ISC Date Timestamp Reference No.
**By mentioning RIA/PMRN code, I/We auth applicable) *In case the EUIN box has been in the AMFI registered distributor, based on the	eft blank, please refer the point related	d to EUIN in the Declaratio	n & Signatures section overleat		
		DEMAT MODE*			Please refer instruction no. 7)
*Demat Account details are mandatory if the Details. In case of any ambiguity or validation				as given in the order of the app	licants matches as per the Depositor
National Se	curities Depository Limited		Cent	ral Depository Services (Ind	ia) Limited
DP Name -		D	P Name -		
	Beneficiary A/c No.		PID	Beneficiary A/c N	D.
Enclosures - Please (✓) ☐ Client N 3. MODE OF HOLDING	Aasters List (CML) 🗌 Transad	ction cum Holding Stat	tement Delivery Ins	truction Slip (DIS)	(Diagon refer instruction polic
In case of Demat Purchase, Mode of	f Holding should be same as ir	n Demat Account)	Single		(Please refer instruction no. 5 ne or Survivor (Default)
4. APPLICANT'S NAME AND INFO	RMATION (Mandatory) to be fi	illed in block letters. (N	ame and DOB shall be as p	er Income Tax Records)	(Please refer instruction no. 3)
Folio No.	(For Exis	sting unit holders)	Gender 🗌 I	Male 🗌 Female 🗌 Oth	ers
Name of Sole / 1st Applicant Mr.	/ Ms. / M/s. First		Middle		Last
(Name as per IT Records) PAN/PEKRN (Mandatory)	CKYC No.			Date of Birth (Mandatory)	
Mobile No.		Email ID			
The Email ID belongs to (Mandatory Please , The Mobile No. belongs to (Mandatory Please The default Communication mode is E-mail on Out or world recommend you to be access as	e ✓) _ Self _ Spouse _ Deper	ndent Children 🗌 De ument(s) via physical mode	ependent Siblings □ Dep : (please√here) □ Account Stat	endent Parents 🗌 Guard ement 🗆 Annual Report/Abridge	lian 🗌 PMS 🗌 Custodian 🗌 PC
(We would recommend you to choose an c			Valid upto D D M	(Legal E M V V V V transac	ntity Identifier Number is Mandatory fo tion value of INR 50 crore and above fo ividual investors. Refer instruction no. 4
Resident Ind	ividual NRI-Repatriation	n 🗌 NRI-Non Repa	triation	Trust HUF	
Tax Status (Mandatory, Please√) □ Minor throug		Fils	PI0	Body Corporate 🗌 Soci	ety/Club 🗌 Sole Proprietorshi
	ganisation	tion 🗌 NBFC	Bank	Others	(Please Specify)
Non Profit Orgnization [NPO] We are falling under "Non-Profit Organizatio egistered as a trust or a society under the S f yes, please quote the Registration No. pr f not, please register immediately and cor TA to register your entity name in the abor	n" [NPO] which has been constituter ocieties Registration Act, 1860 (21 of rovided by DARPAN portal of NITI A ıfırm with the above information. Fa	f 1860) or any similar Stat ayog: ailure to get above confi	e legislation or a Company reg rmation or registration with t	istered under the section 8 of the portal as mandated, when	the Companies Act, 2013 (18 of 2013 ever applicable will force MF / AMC
under the respective statutory requiremen					
GUARDIAN DETAILS (In case First / Sol	e Applicant is minor) /CONTACT F	PERSON- DESIGNATIO	DN / POA HOLDER (In case	of Non- Individual Investors) [N	lame and DOB shall be as per IT Records
r. / Ms. First ame as per IT Records)			Middle		Last
AN landatory)	CKYC No.			Gender] Male 🔄 Female 🗌 Othe
lobile No.	Email ID				
esignation/Relationship with Mi	nor		Date of Bi (Mandatory)	rth/Date of Incorporation	DDMMYYY
Date of Birth Proof for minors (A	ny One)				
] Birth Certificate 🗌 Marks She				Others	
Acknowledgement Slip (To be filled in by BAJAJ FINSERV ASSET MANAGEMENT					Bajaj Finserv Multi Cap Fund
Received from Mr. / Ms		-	, .	•	Collection Centre / Bajaj AMC Stamp & Signature
Application No.					

BAJAJ FINSERV ASSET MANAGEMENT LIMITED

5a. MAILING ADI	DRESS (Address as per KYC)				
Local Address of 1s	t Applicant				
				City	
State	Pin	Code	Tel. Resi	Tel. Of	f
5b. OVERSEAS C	ORRESPONDENCE ADDRESS (Man	datory for NRI / FII Applica	nt)		
[Please provide Fu	ull Address. P. O. Box address is no	t sufficient]			
Zip Code:	Tel. Resi	Tel.	Off	Mobile No	
6a. SECOND APP	LICANT'S DETAILS* (In case of Mir	nor, there shall be no joint h	olders) [Name and DOB sha	all be as per Income Tax Records]	
Name Mr. / Ms. (Name as per IT Records)	First		Middle		Last
PAN (Mandatory)		CKYC No.		Gender	🗌 Male 🔲 Female 🗌 Others
Mobile No.		Email ID		Date of Bir (Mandatory)	th D D M M Y Y Y Y
•	o (Mandatory Please ✓) □ Self □ Sp s to (Mandatory Please ✓) □ Self □ Sp				lian 🗌 PMS 🗌 Custodian 🗌 POA lian 🗌 PMS 🗌 Custodian 🗌 POA
Tax Status (Mandatory, Please √	7) 🗌 Resident Individual 🗌 N	IRI-Repatriation 🗌 NRI-I	Non Repatriation		
6b. THIRD APPLI	CANT'S DETAILS* (In case of Min	or, there shall be no joint ho	olders) [Name and DOB sha	Ill be as per Income Tax Records]	
Name Mr. / Ms. (Name as per IT Records)	First		Middle		Last
PAN (Mandatory)		CKYC No.		Gender	Male Female Others
Mobile No.		Email ID		Date of Bir (Mandatory)	th D D M M Y Y Y Y
	o (Mandatory Please ✓) □ Self □ Sp s to (Mandatory Please ✓) □ Self □ Sp				lian 🗌 PMS 🗌 Custodian 🗌 POA lian 🗌 PMS 🗌 Custodian 🗌 POA
Tax Status		_	Non Repatriation		
7. KYC Details (M	andatory)				(Please refer instruction no. 3e)
First Applicant:				Business Professional [Others (please specify)	Agriculturist CRetired
Second Applicant	t:			Business Professional [Others (please specify)	Agriculturist Retired
Third Applicant:		Public Sector Service Student		Business Professional [Others (please specify)	Agriculturist Retired
Gross Annual Inc	ome				
First Applicant:	□ Below 1 Lac □ 1-5 OR Net worth* (for Non-Ind			>25 Lacs-1 crore as on D D M M Y	>1 crore Y Y (Not older than 1 year)
Second Applicant	t: Below 1 Lac 1-5 OR Net worth* (for Non-Ind		cs 10-25 Lacs	>25 Lacs-1 crore as on D D M M Y	>1 crore Y Y Other (Not older than 1 year)
Third Applicant:	Below 1 Lac 1-5 OR Net worth* (for Non-Ind		es 🗌 10-25 Lacs	>25 Lacs-1 crore	>1 crore Y Y Y Y (Not older than 1 year)
For Individuals					(Please refer instruction no. 3d)
First Applicant:	I am Politically Exposed Pers	son (PEP)	🗌 I am Related to Politica	ally Exposed Person (RPEP)	Not applicable
Second Applicant	t: 🗌 I am Politically Exposed Pers	son (PEP)	I am Related to Politica	ally Exposed Person (RPEP)	Not applicable
Third Applicant:	I am Politically Exposed Pers	son (PEP)	I am Related to Politica	ally Exposed Person (RPEP)	Not applicable
For Non Individua	als, if involved in any of the below	mentioned services, plea	se (✓) the appropriate op	otion :	
•	nge / Money Changer Services 🗌 Ye		. .	ervices 🗌 Yes 🗌 No (iii) Mone	y Lending / Pawning 🗌 Yes 🗌 No
Sr. Octoor				Paymen	t Details
No. Scher	ne Name /Plan	Option	Net Amount Paid (₹)	Cheque/DD No./UTR No. (in case of NEET/RTGS)	Bank and Branch

Growth

🗌 IDCW Payout

IDCW Reinvestment

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Bajaj Finserv Multi Cap Fund

🗌 Regular 🗌 Direct

os://www.bajajamc.com
VEBSITE: htt
AIL: service@bajajamc.com V
: 1800 309 3900 EMAIL
TOLL FREE NUMBER:

8. BANK ACCOUNT DETAI	ILS FOR PAYOUT(Please attach	copy of cancelled o	cheque)			(Please refe	er instructio	on no. 4)	
Name of the Bank										
Account No.				Accou	nt Type 📃 :	SB CA SB-NF	RE SB-NRO	Others		
Bank Branch			Address							
		Bank City		Sta	ite		Pincod	e		
MICR Code (9 digits)			^{\$} IFSC Code f	for NEFT / RTGS			^{\$} This is an 11 Digi from your chequ			
9. INVESTMENT & PAYME	ENT DETAILS* The	e name of the fi	rst/ sole applicant	must be pre-printed	on the chequ	Je.	(Please ref	er instructio	on no. 6)	
Scheme Nar	Scheme Name Plan Option									
Bajaj Finserv Multi Cap Fund Regular Plan Direct Plan Direct Plan IDCW Reinvestment (Default for IDCW) (Please refer to SID for the IDCW Frequency & Option)								/ & Option)		
Payment Type (Please	 ✓) 		Non-	Third Party		Third Party Pay	/ment (PIs fill third pa	arty declaration	ion form)	
Transaction Type			Lum	ipsum			SIP*			
Amount (INR)										
Mode of Payment (Please 🗸 Cheque / DD 🗌 NEFT / OTM (One Time Mandate) Existing Investors who have an existing 01	RTGS) (This facility is only applicable f	for	Cheque / D	D No. / UTR No.		Ch	eque / DD No. / UTR N	0.		
Drawn on Bank										
A/c Number										
Date										
*If you wish to register SIF Reason for investment	P, kindly fill the relev	vant SIP Regist ildren's Educati	Cheque/DD should be drawn in favour of scheme name e.g. "Bajaj Finserv Multi Cap Fund" *If you wish to register SIP, kindly fill the relevant SIP Registration & OTM Debit Mandate Form. Reason for investment House Children's Education Children's Marriage Car Retirement Others (please specify) Investment horizon Please (anyone 5 Years 10 Years 20 Years 25 Years							
10. FATCA AND CRS DET	AILS FOR INDIVID	UALS (Inclu	ıding Sole Proprie	tor)			(Please ref	er instructio	on no. 8)	
10. FATCA AND CRS DET Non-Individual investors s					(UBO) Form.	The below information				
		fill separate FA	TCA and Ultimate B		(UBO) Form.			applicants/g		
Non-Individual investors s	should mandatorily	fill separate FA	TCA and Ultimate B	Beneficial Ownership (on is required for all a	applicants/g		
Non-Individual investors s	should mandatorily	fill separate FA	TCA and Ultimate B	Beneficial Ownership (India	Country of Ci	on is required for all a tizenship / Nation (Please specify)	applicants/g		
Non-Individual investors s Particulars First Applicant / Guardian Second Applicant Third Applicant	Place/Cit	fill separate FA	TCA and Ultimate B	Beneficial Ownership (India	Country of Ci n U.S. Others n U.S. Others n U.S. Others n U.S. Others	on is required for all a tizenship / Nationa (Please specify) (Please specify)	applicants/g		
Non-Individual investors s Particulars First Applicant / Guardian Second Applicant	Place/Cit	fill separate FA	TCA and Ultimate B	Beneficial Ownership (India	Country of Ci n U.S. Others n U.S. Others n U.S. Others n U.S. Others	on is required for all a tizenship / Nationa (Please specify) (Please specify)	applicants/g		
Non-Individual investors s Particulars First Applicant / Guardian Second Applicant Third Applicant	Place/Cit	fill separate FA y of Birth	TCA and Ultimate B	Beneficial Ownership (:ry of Birth de India? Yes	India	Country of Ci n U.S. Others n U.S. Others n U.S. Others tick ()] Others	on is required for all a tizenship / Nationa (Please specify) (Please specify) (Please specify)	applicants/g	guardian	
Non-Individual investors s Particulars First Applicant / Guardian Second Applicant Third Applicant Are you a tax resident (i.e., If 'YES' please fill for ALL ca	Place/Cit	fill separate FA y of Birth for Tax) in any c n India) in which	TCA and Ultimate B Count Other country outsid h you are a Resider	Beneficial Ownership (:ry of Birth de India? Yes	India India India India No [Please f where you are Idem	Country of Ci n U.S. Others n U.S. Others n U.S. Others tick ()] Others	on is required for all a tizenship / Nationa (Please specify) (Please specify) (Please specify)	applicants/g ality ax Resident able please	in the	
Non-Individual investors s Particulars First Applicant / Guardian Second Applicant Third Applicant Are you a tax resident (i.e., If 'YES' please fill for ALL corespective countries.	Place/Cit Place/Cit	fill separate FA y of Birth for Tax) in any c n India) in which	TCA and Ultimate B Count Other country outsid h you are a Resider	Beneficial Ownership (cry of Birth de India? Yes nt for tax purpose i.e. cation Number or	India India India India No [Please f where you are Idem	Country of Ci n U.S. Others n U.S. Others n U.S. Others n U.S. Others tick (√)] e a Citizen/Resident/C tification Type	on is required for all a tizenship / Nationa (Please specify) (Please specify) (Please specify) Green Card Holder/Ta if TIN is not avail	applicants/g ality ax Resident able please	in the	
Non-Individual investors s Particulars First Applicant / Guardian Second Applicant Third Applicant Are you a tax resident (i.e., If 'YES' please fill for ALL corespective countries. Particulars Particulars	Place/Cit Place/Cit	fill separate FA y of Birth for Tax) in any c n India) in which	TCA and Ultimate B Count Other country outsid h you are a Resider	Beneficial Ownership (cry of Birth de India? Yes nt for tax purpose i.e. cation Number or	India India India India No [Please f where you are Idem	Country of Ci n U.S. Others n U.S. Others n U.S. Others n U.S. Others tick (√)] e a Citizen/Resident/C tification Type	in is required for all a tizenship / Nationa (Please specify) (Please specify) (Please specify) (Please specify) Green Card Holder/Ta If TIN is not avail the reason A, B or	applicants/g ality ax Resident able please C (as define	guardian	
Non-Individual investors s Particulars First Applicant / Guardian Second Applicant Third Applicant Are you a tax resident (i.e., If 'YES' please fill for ALL corespective countries. Particulars First Applicant / Guardian	Place/Cit Place/Cit	fill separate FA y of Birth for Tax) in any c n India) in which	TCA and Ultimate B Count Other country outsid h you are a Resider	Beneficial Ownership (cry of Birth de India? Yes nt for tax purpose i.e. cation Number or	India India India India No [Please f where you are Idem	Country of Ci n U.S. Others n U.S. Others n U.S. Others n U.S. Others tick (√)] e a Citizen/Resident/C tification Type	in is required for all a tizenship / Nationa (Please specify) (Please specify) (Please specify) (Please specify) Green Card Holder/Ta if TIN is not avail the reason A, B or Reason : A	applicants/g ality ax Resident able please C (as define B []	guardian	
Non-Individual investors s Particulars First Applicant / Guardian Second Applicant Third Applicant Are you a tax resident (i.e., If 'YES' please fill for ALL correspective countries. Particulars First Applicant / Guardian Second Applicant / Guardian Second Applicant Inird Applicant Reason A ⇒ The cou Reason B ⇒ No TIN	should mandatorily f Place/Cit are you assessed f ountries (other thar Country of Ta Country of Ta	fill separate FA y of Birth for Tax) in any of n India) in which x Residency count Holder is I is reason only if	TCA and Ultimate B Count	Beneficial Ownership (rry of Birth de India? Yes ht for tax purpose i.e. cation Number or al Equivalent es not issue Tax Identi	India	Country of Ci	is required for all a tizenship / Nationa (Please specify) (Please specify) </td <td>applicants/g ality ax Resident able please C (as define B B B</td> <td>guardian</td>	applicants/g ality ax Resident able please C (as define B B B	guardian	
Non-Individual investors s Particulars First Applicant / Guardian Second Applicant Third Applicant Are you a tax resident (i.e., If 'YES' please fill for ALL carespective countries. Particulars First Applicant / Guardian Second Applicant / Guardian Second Applicant In third Applicant Third Applicant Reason A ⇔ The cou Reason B ⇔ No TIN Reason C ⇔ Others	should mandatorily f Place/Cit are you assessed f ountries (other thar Country of Ta Country of Ta	fill separate FA y of Birth for Tax) in any of n India) in which x Residency x Residency count Holder is l is reason only if reason thereof:	TCA and Ultimate B Count	Beneficial Ownership (rry of Birth de India? Yes to for tax purpose i.e. to for tax purpose i.e. tation Number or al Equivalent es not issue Tax Identi the respective country	India	Country of Ci	is required for all a tizenship / Nationa (Please specify) (Please specify) </td <td>applicants/g ality ax Resident ax Resident c (as define B B B B B B</td> <td>guardian</td>	applicants/g ality ax Resident ax Resident c (as define B B B B B B	guardian	

TOLL FREE NUMBER: 1800 309 3900 | EMAIL: service@bajajamc.com | WEBSITE: https://www.bajajamc.com

11. NOMINATION DETAILS* (To be filled in by individuals singly or jointly. Mandatory only for Investors who opt to hold units in Non-Demat) (Please refer instruction no. 9) 0R

I/We do hereby nominate the person(s) more particularly described here under to receive the Units held in my/our Folio in the event of my/our death. (Please fill the nominee details in the table given below)

□ I/We hereby confirm that I/We do not wish to appoint any nominee(s) for my mutual fund units held in my/our mutual fund folio. I/We understand the implications/issues involved in non-appointment of any nominee(s) and am/are further aware that in case of my demise/ death of all the unit holders in the folio, my/our legal heir(s) would need to submit all the requisite documents issued by the Court or such other competent authority, as may be required by the Mutual Fund/AMC for settlement of death claim/transmission of units in favour of the legal heir(s), based on the value of the units held in the mutual fund folio.

EMAIL:

3900

1800 309

FREE NUMBER:

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If,	/ou do not wish to nominate (Ont Out of Nomination) it is mandatory to a	aion ao nartha mada af ha	Iding in gignoture encoger	vrovidod bolow i o in Nor	aination Dataila agation
11.3	/ou do not wish to nominate (Opt out of Normination), It is manualory to s	sign as per the mode of no	iung in signature space p	Di ovided below i.e. in Nor	mination Details section

ame and PAN of Nominee(s)	Relationship with Applicant	Date of Birth	Guardian Name	Guardian's relationship with nominee	Signature of Nominee/ Guardian of Nominee (Optional)	Proportion (%) in which the units will be shared by each Nominee (should aggregate to 100%)
	(Mandatory)	(Mandatorily to	be furnished in case the N	ominee is a minor)		(Mandatory)
Nominee 1		DD/MM/YYYY				
Nominee 2		DD/MM/YYYY				
Nominee 3		DD/MM/YYYY				
nature(s) All Unit holders to mar	idatorily sign irresp	bective of the mod	e of holdina.			
Sign of 1st Applicant / Guar	dian		Sign of 2nd Applicant		Sign of 3rd	I Applicant
Sign of 1st Applicant / Guar	dian				Sign of 3rd	l Applicant
	d and agree to the pri		Sign of 2nd Applicant		to the AMC/Fund for collecting,	receiving, possessing, storing,

13. DECLARATION AND SIGNATURES

I/We hereby confirm and declare as under:- I/We have read and understood the contents of the Statement of Additional Information of Baiai Finsery Mutual Fund and the Scheme Information Document(s)/Key nformation memorandum of Bajaj Finserv Multi Cap Fund and Addenda thereto, issued from time to time and the Instructions. I/We, hereby apply to the Trustee of Bajaj Finserv Multial Fund for allotment of units of the Scheme(s) of Bajai Finsery Mutual Fund, as indicated above and agree to abide by the terms, conditions, rules and regulations of the relevant Scheme(s). I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I/We declare that I am/We are authorised to make this investment and the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme(s) is/are being recommended to me/us. I/We declare that the information given in this application form is correct, complete and truly stated. In the event of my/our not fulfilling the KYC process to the satisfaction of the AMC/Bajaj Finserv Mutual Fund, I/We hereby authorise the AMC/Bajaj Finserv Mutual Fund to redeem the units against the funds invested by me/us at the applicable NAV as on the date of such redemption. I/We agree to notify Bajaj Finserv Asset Management Limited immediately in the event the information in the self-certification changes. For investors investing in Direct Plan: I/We hereby agree that the AMC has not recommended or advised me/us regarding the suitability or appropriateness of the product/scheme/plan. Applicable to Micro Investors: I/We hereby declare that I/We do not have any existing Micro investments which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year. Applicable to Nirs I/We confirm that I am/We are Non-Resident(s) of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External / Ordinary Account / FCNR Account (s). FATCA and CRS Declaration: I/We hereby acknowledge and confirm that the information provided in this form is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We shall be liable for it. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end. I/We hereby authorise you to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes, updates to such information as and when provided by me/us to Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees ('the Authorised Parties') or any Indian or foreign governmental or statutory or judicial authorities/agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax /revenue authorities and other investigation agencies without any obligation of advising me/us of the same.

- Please 🗸 : if the EUIN space is left blank: I / We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.
- should be as it appears in the Folio / on the Application Form and in the same order. In case the mode of holding is joint, all Unit holders are required to sign

should be as it appears in the Polio / on the Appin	cation Form and in the same	oruer. In	case the	moue of	noiuing is j	juint, an un	III IIU	luers	salei	equireu t	U SIGH	
Sign of 1st Applicant / Guardian / Authorised Signatory / POA	Sign of 2nd Applic Authorised Signator					Sign o Authorise						
	Points to reme	mber										
Please ensure that:	Documents	Individuals	Companies	Societies	Partnership Firms	Investments through PoA		NRI	FII(s)/ FPI	Sole Proprietor	Minor	HUF
1. Your Application Form is complete in all respects & signed by all applicants.	Resolution / Authorisation to invest											
2. Name, Address and Contact Details are mentioned in full. Email id & Mobile number			~	~	✓		 ✓ 	—	√	<u> </u>		<u> </u>
should be provided along with the declaration whether it belongs to Self or a Family member.	HUF / Trust Deed						\checkmark	<u> </u>		L		 ✓
 Bank Account Details are entered completely and correctly. IFSC Code & 9 digit MICR 	Bye - Laws			\checkmark				<u> </u>		L	<u> </u>	<u> </u>
 Bank Account Details are entered completely and correctly. IFSC Code & 9 digit MICK Code of your Bank is mentioned in the Application Form. 	Partnership Deed				✓							
 Permanent Account Number (PAN) Mandatory for all Investors (including guardians, joint holders, NRIs and POA holders) irrespective of the investment amount. 	SEBI Registration / Designated Depository Participant Registration Certificate 2								✓			
	Proof of Date of birth										\checkmark	
5. Know Your Client (KYC) Mandatory for irrespective of the amount of investment (please refer the guideline 4(e) for more information)	Notarised Power of Attorney					✓						
 Your Investment Cheque / DD is drawn in favour of < Scheme Name > dated and signed. For e.g "Bajaj Finserv Multi Cap Fund" 	Foreign Inward Remittance Certificate, in case payment is made by DD from NRE /							1				

KYC Acknowledgement

Demat Account Details

- Application Number is mentioned on the reverse of the cheque.
- 8. A cancelled cheque leaf of your Bank is enclosed in case your investment cheque not from the bank account that you have furnished in the Application Form
- (Client Master List Copy)3 9. Documents as listed are submitted along with the Application form (as applicable to FATCA CRS/UB0 Declaration your specific case). PAN

1. Self attestation is mandatory 2. Copy of SEBI registration certificate (for FII) or Designated Depository Participant registration certificate (for FPI) should be provided 3. In case Units are applied in Electronic (Demat) mode.

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GENERAL GUIDELINES FOR NFO APPLICATION FORM

- Please read the Scheme Information Document/Key Information Memorandum of Bajaj Finserv Multi Cap Fund carefully before investing.
- Please furnish all information marked as 'MANDATORY'. In the absence of any mandatory information, the application would be rejected.
- c. The application form should be completed in ENGLISH and in BLOCK LETTERS.
- d. All cheques, demand drafts and pay orders should be crossed "Account Payee only" and made in favour of "Scheme Name" - E.g. Bajaj Finserv Multi Cap Fund.
- e. If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in he application / transaction slip duly signed by investor(s).
- f. Any over-writing / changes made while filling the form must be authenticated by canceling the original entry, re-entering correct details and ensuring that all applicants counter-sign against each correction.
- g. Investors must write the application form number / folio number / PAN number on the reverse of the cheque / demand draft.

INSTRUCTIONS FOR NFO APPLICATION FORM

1. DISTRIBUTOR INFORMATION

- a. Commission (if any) shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.
- b. Pease mention 'DIRECT' in case the application is not routed through any distributor.
 c. Pursuant to SEBI circular dated September 13, 2012, mutual funds have created a unique
- c. Pursuant to SEBI circular dated September 13, 2012, mutual funds have created a unique identity number of the employee/ relationship manager/ sales person of the distributor interacting with the investor for the sale of mutual fund products, in addition to the AMFI Registration Number (ARN) of the distributor. This Employee Unique Identification Number is referred as "EUIN". EUIN aims to assist in tackling the problem of mis-selling even if the employee/relationship manager/sales person leaves the employment of the distributor or his/her sub broker. Quoting of EUIN is mandatory in case of advisory transactions.
- d. Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column "Sub broker ARN code" separately provided, in addition to the current practice of affixing the internal code issued by the main ARN holder in the "Sub broker code (as allotted by ARN holder)" column and the EUIN of the Sales Person (if any) in the "EUIN" column.
- e. Distributor are advised to ensure that they fill in the RIA/PMRN code, in case they are a Registered Investment Advisor / Portfolio Manager.
- f. Investors are requested to note that EUIN is applicable for transactions such as Purchases, Switches, Registrations of SIP / STP and EUIN is not applicable for transactions such as Installments under SIP/STP / SWP, Redemption, SWP Registration.
- g. Investors are requested to note that EUIN is largely applicable to sales persons of non individual ARN holders only (whether acting in the capacity of the main distributor or sub broker). Further, EUIN will not be applicable for overseas distributors who comply with the requirements as per AMFI circular.

2. EXISTING INVESTORS OF BAJAJ FINSERV MUTUAL FUND

If you are an existing investor please mention your existing folio number, so the unit will be allotted in the same folio. If it left blank, then new folio number will be generated.

3. SOLE/ FIRST APPLICANT'S DETAILS

- Please furnish names of all applicants. The name of the Sole /First Applicant should be mentioned in the same manner in which it appears in the Income Tax PAN card. Please note the following:
- In case the applicant is a Non individual Investor (including HUF), then Legal Entity Identifier(LEI) Number is mandatory to be mentioned in the space provided. As per the RBI circular No. RBI/2020-21/82 - DPSS.CO.OD No.901/06.24.001/2020-21 dated January 05, 2021, it is mandatory for all Non-individuals to obtain Legal Entity Identifier(LEI) and quote the same for any transactions beyond ₹ 50 crore routed through RTGS / NEFT w.e.f 1st April'21. Further , the Contact person's name to be stated in the space provided (Name of [Guardian/Contact Person)
- In case the applicant is a minor, the Guardian's name should be stated in the space provided (Name of Guardian / Contact Person). It is mandatory to provide the minor's date of birth in the space provided.
- In case the application is being made on behalf of a minor, he / she shall be the Sole Holder/Beneficiary. There shall be no joint account with a minor unitholder.
- b. Please indicate the tax status of the sole/1 applicant at the time of investment. The abbrevations used in this section are: NRI: Non-Resident Indian Individual, PIO: Person of Indian Origin, FII: Foreign Institutional Investor, NGO: Non Government Organization, AOP: Association of Persons, BOI: Body of Individuals, HUF : Hindu Undivided Family.
- Individuals, HUF : Hindu Undivided Family. c. Where the investment is on behalf of a Minor by the Guardian:
- The Minor shall be the first and sole holder in the account.
- No Joint holders are allowed. In case an investor provides joint holder details, these shall be ignored.
- Guardian should be either a natural guardian (i.e. father or mother) or a court appointed aa legal guardian.
- Guardian should mention the relationship with Minor and date of birth of the Minor on the application form.
- A document evidencing the relationship and date of birth of the Minor should be submitted along with the application form. Photo copy of any one of the following documents can be submitted a) Birth certificate of the minor or b) school leaving certificate/ mark sheet of Higher Secondary board of respective states, ICSE, CBSE etc.
 c) Passport of the minor d) Any other suitable proof evidencing the relationship.
- Where the guardian is not a natural guardian (father or mother) and is a court appointed legal guardian, suitable supporting documentary evidence should be provided.
- Payment for investment by any mode shall be accepted from the bank account of the minor, parent or legal guardian of the minor or from the joint account of the minor with parent or legal guardian.
- If the mandatory details and/or documents are not provided, the application is liable to be rejected without any information to the applicant.

d. Politically Exposed Person (PEP)[^]

a. PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

- h. FATCA Declaration: Individual investors, please fill in FATCA / CRS annexure and attach along with Application form. Non-Individual investors, please fill in UBO form along with FATCA / CRS annexure and attach along with Application form available on our website
- In case of new individual investors who are not KYC compliant, please fill the CKYC form issued by Central KYC Registry (CKYC) appended in the form and also available on our website.
- In case of new non-individual investors, please fill the KYC application form issued by KYC Registration Agency available on our website https://www.bajajamc.com
- k. In case of existing individual and non individual investors who are KYC compliant, please provide the KYC acknowledgement issued by the KYC Registration Agency.
- I. Please strike off sections that are not applicable.
- m. This application form can be used during the New Fund Offer period and on a ongoing basis once basis once the scheme reopens for ongoing sale and repurchase till stock lasts.
 - b. Domestic PEPs: Individuals who are or have been entrusted domestically with prominent public functions within India, for example Heads of State or of Governments, senior
 - government, judicial or military officials, senior executives of state-owned corporations.c. Family members are individuals who are related to PEP either directly or through marriage or similar forms of partnership.

e. KYC Requirements and details:

Please furnish PAN & KYC details for each applicant/unit holder, including the Guardian and/or Power Of Attorney (POA) holders as explained in the below points.

PAN

It is mandatory for all investors (including guardians, joint holders, NRIs and power of attorney holders) to provide their Income Tax Permanent Account Number (PAN) and also submit a photo copy of the PAN card at the time of purchase of Units except for investors who are exempted from PAN requirement, please refer to KYC Form for exemption of PAN requirement.

KNOW YOUR CUSTOMER (KYC)

- a) Individual client who has registered under Central KYC Records Registry (CKYCR) has to fill the 14 digit KYC Identification Number (KIN) in application form as per AMFI circular 135/BP/68/2016-17. To download Common KYC Application Form, please visit our website https://www.bajajamc.com
- b) In accordance with the aforesaid SEBI circulars and AMFI best practice guidelines for implementation of CKYC norms with effect from February 1, 2017:
- c) Individual investors who have never done KYC process under KRA regime i.e. a new investor who is new to KRA system and whose KYC is not registered or verified in the KRA system shall be required to provide KYC details in the CKYC Form to the Mutual Fund/ AMC.
- d) Individual investor who fills old KRA KYC Form, should provide additional / missing information using Supplementary KYC Form or CKYC Form.
- e) Details of investors shall be uploaded on the system of CKYCR and a 14 digit unique KYC Identifier ('KIN') will be generated for such customer.
- f) New investors, who have completed CKYC process & have obtained KIN may quote their KIN in the application form instead of submitting CKYC Form/ Supplementary KYC Form.
- g) AMC/ Mutual Fund shall use the KIN of the investor to download the KYC information from CKYCR system and update its records.
- h) If the PAN of investor is not updated on CKYCR system, the investor should submit self certified copy of PAN card to the Mutual Fund/ AMC. In accordance with AMFI circular - 35P/MEM-COR/54/2019-20 dated February 28, 2020,
- In accordance with AMFI circular 35P/MEM-COR/54/2019-20 dated February 28, 2020, it is mandatory, KYC to be verified by KYC Registration Agency before processing redemption. Further, investor requested to complete KYC process before placing redemption request.

In accordance with AMFI circular - 35P/MEM-COR/54/2019-20 dated February 28, 2020, it is mandatory, KYC to be verified by KYC Registration Agency before processing redemption. Further, investor requested to complete KYC process before placing redemption request.

The CKYC Form and Supplementary KYC Form are available at Investor Service Centre (ISC) of Bajaj Finserv Mutual Fund and on website https://www.bajajamc.com The AMC reserves the right to reject transaction application in case the investor(s) fails to submit information and/or documentation as mentioned above. In the event of non compliance of KYC requirements, the Trustee / AMC reserves the right to freeze the folio of the investor(s).

Micro Investment

With effect from October 30, 2012, where the aggregate of the lump sum investment fresh purchase & additional purchase) and Micro SIP installments by an investor in a financial year i.e April to March does not exceed `50,000/- it shall be exempt from the requirement of PAN.

However, requirements of Know Your Customer (KYC) shall be mandatory. Accordingly, investors seeking the above exemption for PAN still need to submit the KYC Acknowedgement, irrespective of the amount of investment. This exemption will be available only to Micro investment made by the individuals being Indian citizens (including NRIs, Joint holders, minors acting through guardian and sole proprietary firms). PIOs, HUFs, QFIs and other categories of investors will not be eligible for this exemption.

f. Contact Information

- a. Please furnish the full postal address of the Sole/ First Applicant with PIN/Postal Code and complete contact details. (P.O. Box address is not sufficient).
- b. As per SEBI letter SEBI/H0/IMD/DoF4/0W/P/2018/0000019378/1 dated July 9, 2018 and jAMFI Best Practice Guidelines Circular No. 77 / 2018-19 the first/sole holder's own email address and mobile number should be provided for speed and ease of communication in a convenient and cost-effective manner, and to help prevent fraudulent transactions. Individual investors must declare whether the primary email address and mobile number being provided belongs to Self or a Family member and tick the relevant code in the application form.

The email id/ contact details mentioned on the application form should be the same as the ones provided in the KRA. If found different, the details mentioned on KRA records will be updated in the folio. Investor will need to update the email id/mobile number with the KRA incase of any change.

INSTRUCTIONS FOR NFO APPLICATION FORM (Cont'd.)

- c. Please note that all communication i.e. Account statement, Annual Report, News Letters will be sent via e-mail, if the e-mail id of the investor is provided in the application form. The Account statement will be encrypted with a password before sending the same to the registered email id. Should the unitholder face any difficulty in accessing/opening the Account Statements/ documents sent via email, the unitholder may call/write to the AMC/Registrar and ask for a physical copy. d. Overseas address is mandatory for NRI/FII investors.

4. BANK DETAILS

- a. Please furnish complete Bank Account Details of the Sole/First Applicant. This is a mandatory requirement and applications not carrying bank account details shall be rejected. Bank details redemption proceeds.
- Please provide your complete Core Banking Account Number, (if applicable), in your Bank Mandate in the Application Form. In case you are not aware of the Core Banking Account Number, kindly check the same with your bankers.
- Please attach a original cancelled cheque leaf if your investment instrument is not from C. the same bank account mentioned in the Application form.
- d. Bajaj Finserv Mutual Fund will endeavour to remit the Redemption through electronic mode, wherever sufficient bank account details of the unit holder are available.

5. MODE OF HOLDING

Please select mode of holding, if option left blank then default option of Anyone or Survivor will be considered.

6. INVESTMENT/PAYMENT DETAILS

Plans:

The AMC has introduced a separate plan for direct investments (i.e. investments not routed through an AMFI Registration Number (ARN) Holder ("Distributor") (hereinafter referred to as "Direct Plan").

- There shall be 2 Plans available for subscription under the Schemes viz., Regular Plan and Direct Plan
- Investors subscribing under Direct Plan of the Schemes should indicate the Scheme/Plan name in the application form as "Scheme Name - Direct Plan" e.g. "Bajaj Finserv Multi Cap Fund". Investors should also indicate "Direct" in the ARN column of the application form. However, in case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan.
- Please note, where application is received for Regular Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan.
- Please indicate the Plan under which you wish to invest. Also indicate your choice for IDCW payout or re-investment. If any information is left blank, the default option will be available.
- Payment may be made only by Cheque or Bank Draft or Electronic Fund Transfer. Cheque/Draft should be drawn in favour of the "Scheme name" - e.g. "Bajaj Finserv Multi Cap Fund" and crossed "Account Payee only".
- Please refer to Scheme Information Document, Statement of Additional Information and Key Information Memorandum of the scheme for the Minimum amount criteria of the scheme.
- Please note that third party payments shall not be accepted.
- Third Party Payment shall mean payment made through an instrument issued from an account other than that of the beneficiary investor. In case of payment instruments issued from a joint bank account, the first named applicant/investor must be one of the joint holders of the bank account from which the payment instrument is issued. 'Related person/s' means such persons as may be specified by the AMC from time to time. Exceptions: MF will accept subscriptions to schemes of Bajaj Finserv MF accompanied by Third- Party Payment Instruments only in the following exceptional cases:
- Payment by Employer on behalf of employee under Systematic Investment Plans or lumpsum/one time subscription, through Payroll deductions or deductions out of expense reimbursements.
- Custodian on behalf of a Foreign Portfolio Investors (FPIs) or a client. b.
- Payment by an AMC to an empanelled Distributor on account of commission/incentive c. etc. in the form of the Mutual Fund units of the schemes managed by such AMC through SIP or lump sum/ one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.
- d. Payment by a Corporate to its Agent/Distributor/Dealer (similar arrangement with Principal-agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through SIP or lump sum/one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.
- e. Payment by registered Stock brokers of recognized stock exchanges for their clientsjhaving demat accounts. The investors making an application under the above mentioned exceptional cases are required to comply with the following, without which their applications for subscriptions for units will be rejected / not processed.
- Mandatory KYC compliance of the investor and the person making the payment, in order to determine the identity of the investor and the person issuing the payment instrument.
- Submit a separate, prescribed, 'Third Party Payment Declaration Form' from the beneficiary applicant/s and the person making the payment i.e., the Third Party, giving details of the bank account from which the payment is made and the relationship of the Third Party with the beneficiary. (The declaration form is available at https:///www.bajajamc.com
- Submit a cancelled cheque leaf or copy of bank statement /pass book mentioning bank account number, account holders' name and address or such other document as the AMC may require for verifying the source of funds to ascertain that funds have been remitted from the drawer's account only

For identifying Third Party Payments, investors are required to comply with the requirements specified below :

a. Payment by Cheque:

An investor at the time of his/her purchase must provid the details of pay-in bank account (i.e. account from which a subscription payment is made) and pay-out bank account (i.e. account into which redemption are to be paid). Identification of third party cheques by the AMC / Registrars will be on the basis of either matching of pay-in bank account details with registered/pay-out bank account details or by matching the bank account

number/name/signature of the first named investor with the name/account number/signature available on the cheque. If the name/bank account number is not pre-printed on the cheque and signature on the cheque does not match with signature on the application, then the first named applicant/investor should submit any one of the following documents:

- (1) a copy of the bank passbook or a statement of bank account having the name and address of the account holder and account number.
- (2) a letter* (in original) from the bank on the bank's letterhead certifying that the investor maintains an account with the bank, along with information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available). In respect of (ii) above, it should be certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number. Investors should note that where the bank account numbers have changed on account of the implementation of core banking system at their banks, any related communication from the bank towards a change in bank account number should accompany the application form for subscription of units.

b. Payment by Prefunded Instrument:

- (1) If the subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Banker 's cheque, etc., a certificate (in original) from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument. The account number mentioned in the Certificate should be a registered bank account or the first named unitholder should be one of the account holders to the bank account debited for issue of such instruments.
- (2) A pre-funded instrument issued against cash shall not be accepted, except in case of payment made by Parents/Grandparents/related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding `50,000/-. This also should be accompanied by a certificate from the banker giving name, address and PAN of the person who has procured the payment instrument. The Certificate(s) mentioned in (i) and (ii) above should be duly certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number.

Payment by RTGS, NEFT, ECS, Bank transfer, etc: c.

A copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer Instruction copy should be a registered bank account or the first named unitholder should be one of the account holders to the bank account. The above broadly covers the various modes of payment for mutual fund subscriptions. The above list is only indicative not exhaustive list and any other mode of payment as introduced from time to time will also be covered accordingly. In case the application for subscription does not comply with the above provisions, the AMC / Registrars retains the Sole and absolute discretion to reject/not process such application and refund the subscription money and shall not be liable for any such rejection.

d. Lumpsum Investment

If you are from a city where there is no designated Investor Service Centre of Bajaj Finserv MF you may make a payment by a Demand Draft for the investment amount. Please enter the cheque or DD amount, DD Charges (if applicable) and the investment amount. The AMC shall bear the DD Charges incurred by an applicant as per demand draft charges prescribed by State Bank of India. The AMC shall, however, not refund any DD charges to the investor under any circumstances.

NRI investors

NRI Investors and FPIs- NRIs and PIOs may purchase units of the scheme(s) on a repatriation and non-repatriation basis, while FPIs (erstwhile known as FIIs) may purchase units only on a repatriation basis and subject to applicable laws. They shall attach a copy of the cheque used for payment or a Foreign Inward Remittance Certificate (FIRC) or an Account Debit Certificate from the bankers along with the application form to enable the AMC to ascertain the repatriation status of the amount invested. The account type shall be clearly ticked as NRE or NRO or FCNR, to enable the AMC determine the repatriation status of the investment amount. The AMC and the Registrar may rely on the repatriation status of the investment purely based on the details provided in the application form.

Repatriation basis.

NRIs and PIOs may pay their subscription amounts by way of Demand draft, cheques drawn on Non-Resident External (NRE) Accounts or Indian Rupee drafts payable at par at any of the centres where the AMC has a designated ISC and purchased out of funds held in NRE Accounts / FCNR (B) Accounts, FPIs may pay their subscription amounts either by way of inward remittance through normal banking channels or out of funds held in NRE Accounts / FCNR (B) maintained in accordance with Foreign Exchange Management (Deposit) Regulations, 2016.

Non-Repatriation basis

Non-Repatriation basis - NRIs and PIOs may pay their subscription amounts by way of inward remittance through normal banking channels or out of funds held in NRE/FCNR (B)/ NRO account maintained in accordance with Foreign Exchange Management (Deposit) Regulations, 2016.

e. Systematic Investment Plan (SIP)

Please read Scheme Information Document, Statement of Additional Information and Key Information Memorandum Bajaj Finserv Multi Cap Fund for the applicability/ availability of Special Features Bajaj Finserv Multi Cap Fund.

- Incase the investor opts for Normal SIPs the payment details of first installment needs to be provided.
- . If you wish to register SIP ,kindly fill the relevant SIP Registration & OTM Debit Mandate Form.

7. UNIT HOLDING OPTION (Demat / Non - Demat Mode)

- a. Investors can hold units in demat / non-demat mode. In case demat account details are not provided or details of DP ID / BO ID, provided are incorrect or demat account is not activated or not in active status, the units would be allotted in non-demat mode.
- b. Statement of Accounts would be sent to Investors who are allotted units in non-demat mode.
- c. Units held in dematerialized form are freely transferable with effect from October 01, 2011, except units held in Equity Link Savings Scheme during the lock-in period.a

8. FOREIGN ACCOUNT TAX COMPLIANCE (FATCA) FATCA & CRS TERMS & CONDITIONS:

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.Please note that you may receive more than one request for information if you have multiple relationships with Bajaj Finserv Mutual Fund or its group entities. Therefore, it is important that you requested information.

FATCA & CRS INSTRUCTIONS: If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax

resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

SEBI issued its circular no. CIR/MIRSD/2/2015 dated August 26, 2015 interalia advising intermediaries to take necessary steps to ensure compliance with the requirements specified in the rules and guidelines specified by the Government of India. AMFI also issued its best practices guidelines circular no. 135/BP/63/2015-16 dated September 18,2015 on this matter. The AMC and the Mutual Fund are required to adhere to various requirements interalia including submission of various information / details relating to the investors in the schemes of the mutual fund, to authorities, as specified under the applicable laws. Accordingly, the following aspects need to be adhered to :

 All investors will have to mandatorily provide the information and declarations pertaining to FATCA/CRS for all new accounts opened, failing which the application / transaction request shall be liable to be rejected.

Investors are requested to provide all the necessary information / declarations to facilitate compliance, considering India's commitment to implement CRS and FATCA under the relevant international treaties. Please consult your professional tax advisor for further guidance on your tax residency, if required. In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS Indicia
U.S. place of birth	 Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; Non-US passport or any non-US government issued document evidencing nationality or citizenship; AND Any one of the following documents: Certified Copy of "Certificate of Loss of Nationality or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
Residence/mailing address in a country other than India	 Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence
Telephone number in a country other than India	 If no Indian telephone number is provided 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence If Indian telephone number is provided along with a foreign country telephone number 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR 2. Documentary evidence
Telephone number in a country other than India	 Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence

9. NOMINATION DETAILS

- The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
- Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta
 of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor
 unitholder cannot nominate.
- 3. Nomination is not allowed in a folio of a Minor unitholder.
- If the units are held jointly (i.e., in case of multiple unitholders in the folio), all joint holders need to sign the Nomination Form (even if the mode of holding/operation is on ("Anyone or Survivor" basis).
 A minor may be nominated. In that event, the name and address of the Guardian of
- A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be provided.
- Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family, or a Power of Attorney holder.
- 8. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
- 9. Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation / share for each of the anlocation / claim settlement shall be made equally amongst all the nominees.
- 10. Every new nomination for a folio/account shall overwrite the existing nomination, if any.
- 11. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
- 12. Nomination shall stand rescinded upon the transfer of units.
- 13. Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed equally amongst the surviving nominees.
- Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).

- 15. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
- 16. In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

10. DECLARATION AND SIGNATURES

- Please tick the box provided for EUIN declaration in this section in case the ARN is mentioned in the distributor section and the EUIN is left blank.
- All signatures should be hand written in English or any Indian language. Thumb
 impressions should be from the left hand for males and the right hand for females
 and in both cases must be attested by a Judicial Magistrate or a Notary Public.
- If the application form is signed by a Power of Attorney (PoA) holder, the form should be accompanied by a notarised photocopy of the PoA. Alternatively, the original PoA may be submitted, which will be returned after verification. If the PoA is not submitted with the application, the Application Form will be rejected. The POAshould contain the signature of the investor (POA Donor) and the POA holder.
- In case of corporates or any non-individual investors, a list of authorised signatories should be submitted along with Application form or in case of any change in the authorised signatory list, the AMC / Registrar must be notified within 7 days.
- In case of application under POA or by a Non- Individual (i.e. Company, trust, society, partnership firm etc.) the relevant POA or the resolution should specifically provide for/ authorize the POA holder/ authorized signatory to make application/- invest moneys on behalf of the investor.

11. GO GREEN INITIATIVE IN MUTUAL FUNDS

- With respect to the recent directives issued by SEBI via Gazette Notification SEBI/LAD-NRO/GN/2018/14 & Circular SEBI / H0 / IMD / DF2 / CIR / P/2018/92 regarding Go Green Initiative in Mutual Funds regarding disclosing and providing information to investors through digital platform as a green initiative measure.
- In line with above initiative, Bajaj Finserv Mutual Fund has adopted 'Go Green Initiative for Mutual Funds' and accordingly, the scheme Annual Reports /Abridged Summary will be hosted on our website https://www.bajajamc.com in downloadable format. Further, wherever email ids are registered in our records, the scheme Annual Reports / Abridged Summary will be sent via email.
- If you do not opt-in to receive a physical copy of the scheme Annual Report/Abridged Summary, you can view the same on our website or alternatively contact our registered office to get a physical copy of the Annual Report/Abridged Summary.

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SIP REGISTRATION & OTM DEBIT MANDATE FORM



Sign of 1st Applicant / Authorised Signatory / POA Sign of 2rd Applicant / Authorised Signatory / POA Common Signatory / POA Sign of 3rd Applicant / Authorised Signatory / POA Common Signatory / POA Sign of 3rd Applicant / Authorised Signatory / POA Common Signatory / POA Sign of 3rd Applicant / Authorised Signatory / POA Common Signatory / POA Sign of 3rd Applicant / Authorised Signatory / POA Common Signatory / POA Sign of 3rd Applicant / Authorised Signatory / POA Common Signatory / POA Sign of 3rd Applicant / Authorised Signatory / POA Common Signatory / POA Sign of 3rd Applicant / Authorised Signatory / POA Common Signatory / POA Signatory / POA Debit (tick <) SB CA CC SB-NRC Debit (tick SB CA CC SB-NRC Other Bank A/c Signatory / PIA Debit (tick SB CA CC SB-NRC Other Bank A/c Signatory / PIA Debit (tick SB CA CC SB-NRC Other Ban	Broker Code/ ARN / RIA** / PMRN** Code	Sub Broker /Agent's ARN Code	Bank Branch Code		l Code for nt / Employee	EUIN*)ate Timest eference N	
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Instructions for Systematic Investment Plan (SIP)

Please read Scheme Information Document along with Statement of Additional Information and addendum issued from time to time before filling this form. The Unitholders of the Scheme can benefit by investing specific amounts periodically, for a continuous period. At the time of registration, the SIP allows the investors to invest fixed equal amounts subject to minimum of Rs.500/- and multiples of Re.1/- every day/week/ fortnight/month/quarter for purchasing additional Units of the Scheme at NAV based prices. Investors can enrol themselves for SIP in the Scheme by ticking appropriate box on the application form or by subsequently making a written request to that effect to the Registrar & Transfer Agent. Minimum number of installments for daily/weekly/ fortnightly/monthly/quarterly frequency will be 6 for SIP amount of Rs.500 and above. Investors can choose any date of his/her preference to register any frequency available under the SIP facility. In case the date chosen for SIP falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next Business Day.

Investors can subscribe to SIP by using OTM. The cheques/Demand Draft should be in favour of the scheme and crossed "Account Payee Only", and the cheques must be payable at the centre where the applications are submitted to the Investor Service Centre. In case of fresh/additional purchases, if the name of the Scheme on the application form/transaction slip differs from the name on the Cheque/Demand Draft, then the AMC will allot units under the Scheme mentioned on the application form/transaction slip. Further, Investors/ unitholders subscribing for SIP are required to submit SIP request by filling SIP application form at least 21 working days prior to the date of first debit date and SIP start date shall not be beyond 100 days from the date of submission of request for SIP's

- 1. In case existing mandates are successfully registered, a new SIP registration will take upto five business days. The first debit may happen any time thereafter, based on the dates opted by the Unit holder(s).
- 2. In case of iSIP, the URN Registration must be done by the investor within 7 calendar days. The URN will be expired after 7 calendar days.

A fresh Account Statement / Transaction Confirmation will be mailed to the Unitholder, indicating the new balance to his/her credit in the Account. An investor will have the right to discontinue the SIP, subject to giving 10 calendar days prior notice in writing or by filling SIP cancellation form to the subsequent SIP date.

New Investor: Please fill NFO Application Form along with SIP Registration & OTM Debit Mandate Form. If the investor fails to mention the scheme name in the SIP Registration Form, then the Fund reserves the right to register the SIP as per the scheme name available in the NFO application. In case of any ambiguity in the form, Fund reserves the right to reject the SIP request.

Existing Investor: Please fill SIP Registration & OTM Debit Mandate Form and mention the existing folio number. If the investor fails to mention the scheme name in the SIP Registration Form, then the Fund reserves the right to register the SIP in the existing scheme (Eligible for SIP) available in the investor's Folio. In case Multiple Schemes are available in the folio then Fund reserves the right to reject the SIP request.

The Investor may select any date from 1st to 28th of the month. In case SIP date is not appropriately selected then the SIP will be registered on 10th (default date) of each Month/Quarter, as applicable. Investors can choose any day of the week from Monday to Friday to register under weekly frequency. In case Day is not specified by the investor transaction will be processed on Tuesday. For Fortnightly frequency, the transaction will be processed on 1st and 16th day of each month, as applicable. Further if multiple SIP dates are opted for or if the selection is not clear, then the SIP will be registered for 10th of each Month/Quarter, as applicable.

If the Investor has not mentioned the SIP start Month, SIP would commence from the next applicable month, subject to completion of 21 business days time from the receipt of SIP request.

In case the SIP 'End period' is incorrect or not mentioned by the investor in the SIP form, then SIP Period will be considered perpetual till further instructions are received from the investor.

As per NPCI Circular NPCI/NACH/OC No.012/2023-24, mandate can be registered for a maximum duration of 40 years. An investor has to mandatorily enter the 'End Date' of the mandate by filling the date for a maximum period of 40 years from the start date or less.

If the OTM end date is more than 40 years, then the OTM Mandate will be rejected.

After three consecutive SIP transaction failures for a Particular Scheme, the SIP for the scheme shall get auto-terminated.

Micro Systematic Investment Plan (Micro SIP)/PAN Exempt Investments:

In line with SEBI letter no. OW/16541/2012 dated July 24, 2012 addressed to AMFI, Investments in the mutual fund schemes including investments through Systematic Investment Plans (SIPs) up to ₹ 50,000/- per investor per year shall be exempted from the requirement of PAN.

The maximum installment amount in case of Micro SIP shall be as follows :

1.₹ 4,000 /- per month for Monthly frequency.

2. ₹ 12,000/- per guarter for Quarterly frequency.

Accordingly, for considering the investments made by an investor up to ₹ 50,000/-, an aggregate of all investments including SIPs made by an investor in rolling 12 months period, shall be considered and such investors shall be exempted from the requirement of PAN. However, requirements of Know Your Customer (KYC) shall be mandatory and investors seeking the above exemption of PAN will need to submit the PAN Exempt KYC Reference No (PEKRN) / KYC Identification No. (KIN) acknowledgement issued by KRA / (Central KYC Registry) along with the application form.

This exemption is applicable only for individuals including NRIs, minors acting through guardian, Sole proprietorship firms and joint holders*. Other categories of investors e.g. PIOs, HUFs, QFIs, non - individuals, etc. are not eligible for such exemption.

* In case of joint holders, first holder must not possess a PAN.

Investors are requested to note that, in case where a lump sum investment is made during the Investments for that financial year exceeds ₹ 50,000/-, such SIP application shall be rejected. In case where a SIP mandate is submitted during the financial year and subsequently a fresh lumpsum investment is being made provided where the total investments for that financial year exceeds ₹ 50,000/-, such lump sum application will be rejected.

Redemptions if any, in the Micro Investment folio, shall not be considered for calculating the exemption limit for such financial year. Consolidation of folio shall be allowed only if the PEKRN in all folios is same along with other investor details. The first SIP cheque/draft could be of any Business Day but subsequent Auto Debit mandate/ cheques should be for any date from 1st to 28th of a month and there should be a minimum gap of at least 21 business days between the 1st SIP transaction and the 2nd SIP. However, subsequent cheques/ Auto Debit transaction date should have a gap of 21 business days or a quarter depending upon the frequency chosen. In case the criteria is not met, the SIP would start on the same date from the next month. Units shall be allotted as per the realisation date. Investors can also start a SIP directly without any initial investment, however he has to submit the application for enrolment of SIP on any working day but the subsequent installment date of SIP shall be any date from 1st to 28th of a month with a minimum gap criteria of 21 business days between the submission of application form and the 1st SIP.

In the event if the investors want to discontinue the SIP, a written communication will be required from the investors to discontinue the same at least 10 calendar days before the next SIP due date.

SIP Top Up Facility:

- Investors can opt for SIP Top Up facility with Fixed Top Up option or Variable Top Up option, wherein the amount of the SIP can be increased at fixed intervals. In case the investor opts for both options, the Variable Top Up option shall be triggered. And the default Variable Top Up percentage shall be 5%.
- The Fixed TOP UP amount shall be for minimum ₹ 500/- and in multiples of ₹ 1/thereafter.
- Variable Top Up would be available at 5%, 10%, 15% and 20% and such other denominations (over and above 5%, 10%, 15% and 20%) as opted by the investor in multiples of 5%
- The frequency is fixed i.e. either at Yearly and Half Yearly basis. In case the SIP Top Up facility is not opted by ticking the appropriate box and frequency is not selected, the SIP Top Up may not be registered. In case of Quarterly SIP, only the Yearly frequency is available under SIP Top Up.
- SIP Top Up facility shall also be available only for the existing investors who have already registered for SIP facility without Top Up option.
- SIP Top-Up facility shall not be available in case of Micro SIP. Please view below illustration for Fixed Top Up:

SIP Tenure: 07 Jan 2023 to 07 Dec 2027

- Monthly SIP Installment ₹ 2000/-
- TopUp Amount: ₹1000/-
- TopUp Frequency: Yearly

Installment No(s)	From Date	To Date	Monthly SIP Installment	Top Up Amount (₹)	SIP Amount with Top Up (₹)
1 to12	7-Jan-23	7-Dec-23	2000	N.A	2000
13 to 24	7-Jan-24	7-Dec-24	2000	1000	3000
25 to 36	7-Jan-25	7-Dec-25	3000	1000	4000
37 to 48	7-Jan-26	7-Dec-26	4000	1000	5000
49 to 60	7-Jan-27	7-Dec-27	5000	1000	6000

Please view below illustration for Variable Top Up:

SIP Tenure: 07 Jan 2023 to 07 Dec 2027

- Monthly SIP Installment: ₹ 2000/-
- TopUp percentage: 10%
- TopUp Frequency: Yearly

Installment No(s)	From Date	To Date	Monthly SIP Installment Amount (₹)	Top Up Amount (10%) (₹)	P P	SIP Amount with Top Up (₹)
1 to12	7-Jan-23	7-Dec-23	2000	N.A	N.A	2000
13 to 24	7-Jan-24	7-Dec-24	2000	200	200	2200
25 to 36	7-Jan-25	7-Dec-25	2200	220	220	2420
37 to 48	7-Jan-26	7-Dec-26	2420	242	240	2660
49 to 60	7-Jan-27	7-Dec-27	2660	266	270	2930

Top Up Cap Amount and Top Up Month-year:

Top Up Cap Amount: Investor has an option to freeze the Top Up amount once it reaches a fixed predefined amount. The fixed pre-defined amount should be lower than or equal to the maximum amount mentioned by the investor in the bank mandate. In case of difference between the Cap amount and the maximum amount mentioned on Bank mandate, then amount which is lower of the two amounts shall be considered as the default amount of SIP Cap amount.

Top Up Cap Month - Year: It is the date from which Top Up amount will cease and last SIP installment including Top Up amount will remain constant from Cap date till the end of SIP tenure.

Investor shall have flexibility to choose either Top Up Cap amount or Top Up Cap month - year. In case of multiple selection, Top Up Cap amount will be considered as a default selection. Top Up Cap is applicable for Fixed Top Up option as well as Variable Top Up option.

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BAJAJ FINSERV MULTI CAP FUND - APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA)

0 Start Date : 6th February 2025; NFO Closure Date: 20th Febru						
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me of Third Applicant (as available in Demat Account)						
de of Holding: 🗌 Single 🗌 Joint (default) 🗌	Either or Survivor			Folio No.		
DEPOSITORY ACCOUNT DETAILS: [manda pository Name [Please ✓ tick] □ National Sec			epository Service	es [India] Limite	d	
pository Participant Name						
P-ID						
eneficiary Account Number						
NVESTMENT DETAILS [Default plan/opt	ion/sub option will be	applied in c	ase of no inform	nation, ambigu	ity or discrepa	ncy in scheme details]
heme - Bajaj Finserv Multi Cap Fund	Growth (
lease tick (🗸) 🗌 Regular Plan 🗌 Direct P	Dian DCW Pa		(Default for IDCW)		
	IDCW Frequ	ency		(Please	refer to SID for the I	DCW Frequency & Option)
ANK ACCOUNT FOR BLOCKING OF FUND	S [Refer Instruction d] [Bank Acc	ount should be	in the name of	First Applican	t only]
nk Account Number						
nk Name & Branch Address						
tal Amount to be blocked (₹)						
INDERTAKING BY ASBA INVESTOR						
We hereby under take that I/We am/are an ASBA Investor as per the ap SEBI Regulations and as disclosed in this application. //We authorize [a indusioning of funds in the bank account maintained with the SCSB spe- he Units entiting me/us to receive Units on such transfer of funds, etc usite money to the Scheme's account / Bank account of Baja [Finserv Units, the SCSB shall reject the application. 4) if the DP ID. Beneficiary Ac ajaja [Finserv Asset Management Limited or SCSBs shall not be liable for location Number, Depository Account defails [fit has been provided]. A) the SCSB to do all necessary acts inclu- cified in this application form, transfer or (b) Registrar and Transfer Agent to iss Mutual Fund. 3) In case the amount avai ccount No. or PAN furnished by me/us ir losses, if any. All future communication	iding blocking of ap f funds to the Bank ue instruction to th ilable in the bank ao n the application is i n in connection wit	plication money towards the account of the Scheme/Ba e SCSB to remove the block count specified in the applia recorrect or incomplete or no n NFO should be addressed	Subscription of Units of aj Finserv Mutual Fund or on the funds in the bank ation is insufficient for block t matching with the depo	the Scheme, to the extent in receipt of instructions fro account specified in the a poking the amount equival sitory records, the applica	mentioned above in the "SCSB/ASBA Account deta om its Registrar and Transfer Agent after the allotm application, upon allotment of Units and to transfer lent to the application money towards the Subscript ation shall be rejected and Bajaj Finserv Mutual Fu
CONTACT DETAILS OF 1ST APPLICANT						
el. No. (with STD code)		Mobile				
mail ID						
Sole / First Applicant / Guardia	an	Second	Applicant		1	hird Applicant
A						
<u>Z</u>						
PAN MANDATORY		PAN	MANDATORY			PAN MANDATORY
		PAN	MANDATORY			
			MANDATORY		_ Date D D	PAN MANDATORY
		ASBA A	pplication No.:			PAN MANDATORY
	ecurities Depository Ltd	ASBA A	pplication No.:		_ Date DD	PAN MANDATORY
	curities Depository Ltd	ASBA A	pplication No.:		_ Date DD	PAN MANDATORY
	curities Depository Ltd	ASBA A	pplication No.:		_ Date DD	PAN MANDATORY
		ASBA A	pplication No.:		_ Date DD	PAN MANDATORY
		ASBA A	pplication No.:	India] Limited	_ Date DD	PAN MANDATORY
Plan/Option Received from 1st Applicant Depository Name [Please ✓ tick] National Se Depository Participant Name DP-ID Beneficiary Account Number SCSB ACCOUNT DETAILS: Bank A/c No.		ASBA A	pplication No.:	India] Limited	_ Date DD	
Plan/Option Received from 1st Applicant Depository Name [Please ✓ tick] National Se Depository Participant Name DP-ID Beneficiary Account Number SCSB ACCOUNT DETAILS: Bank A/c No.		ASBA A	pplication No.:	India] Limited	_ Date DD	PAN MANDATORY / M M / Y Y Y Y / M M / Y Y Y Y
Plan/Option Received from 1st Applicant Depository Name [Please ✓ tick] National Se Depository Participant Name DP-ID Beneficiary Account Number SCSB ACCOUNT DETAILS: Bank A/c No.	ecurities Depository Ltd	ASBA A	pplication No.: ository Services [Bank Name & Bra Application No.:	India] Limited	_ Date D D	PAN MANDATORY / M M / Y Y Y Y / M M / Y Y Y Y / M M / Y Y Y Y SCSB Stamp, Signatur
Plan/Option Received from 1st Applicant Depository Name [Please ✓ tick] National Se Depository Participant Name DP-ID Beneficiary Account Number SCSB ACCOUNT DETAILS: Bank A/c No. Plan/Option		ASBA A	pplication No.: ository Services [Bank Name & Bra Application No.: Total Rs. in	India] Limited	_ Date D D	/ M M / Y Y Y Y

ACKNO

Branch Name

ASBA Forms should be submitted only with an authorized branch of designated SCSBs.Not to be submitted at any offices of Bajaj Finserv Mutual Fund or its Registrar, KFin Technologies Limited (Formerly KFin Technologies Private Limited).

Application Supported by Blocked Amount (ASBA)

ASBA provides an alternative mode of payment whereby the application money remains in the investor's account till allotment of units. ASBA process facilitates investors to apply through Self Certified Syndicate Banks (SCSBs), in which the investors have their bank accounts. SCSBs are those banks which satisfy the conditions laid by SEBI. SCSBs would accept the applications, verify the application, block the fund to the extent of investment amount, unblock once the allotment is finalized and debit the investor's account.

Instructions and Terms and Conditions

Please read Scheme Information Document (SID), Statement of Additional Information (SAI), Key Information Memorandum (KIM), all relevant Addenda available with Bajaj Finserv Mutual Fund offices and instructions carefully before filling up the application form. Investors are deemed to have read, understood and accepted the terms subject to which these offers are being made and bind themselves to the terms upon signing the application form

- This application form may be used by both resident and non-resident investors. For more details, please read the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Notice and addenda issued in this reference.
- 2. ASBA facility is currently available only to those investors who wish to hold the units in dematerialized form.
- 3. An ASBA investor shall submit a duly filled up ASBA Application form, physically or electronically to the SCSB with whom the investors holds the bank account which is to be blocked
 - In case of ASBA application in physical mode, the investor shall submit the ASBA form at the bank branch of SCSB, which is designated for the purpose and the investor must be holding a bank account with such SCSB.
 - ii. In case of ASBA application in electronic form, the investor shall submit the ASBA form either through the internet banking facility available with the SCSB, or such other electronically enabled mechanism for subscribing to units of Mutual Fund schemes authorising to block the subscription money in a bank account.
- 4. The Bank Account Number in the form should necessarily be of the first applicant only. In case where the bank account is jointly held, the first applicant should be one of the joint holders.
- ASBA application form will not be accepted by any of the offices of Bajaj Finserv Mutual Fund or its Registrar & Transfer Agent, i. e KFin Technologies Limited (Formerly KFin Technologies Private Limited).
- Investors shall ensure that the bank account details mentioned in the ASBA application form is correct and the funds are available in the account for the SCSB to block the amount.
- Upon submission of an ASBA form with the SCSB, investor shall be deemed to have agreed to block the entire subscription amount specified and authorized the designated branch to block such amount in the Bank account.
- 8. The SCSBs shall block the subscription money based on the authorization given by the account holder in the ASBA application form. The subscription money shall remain blocked in the Bank account till allotment of units under the scheme or till rejection of the application, as the case may be.
- 9. The SCSBs may at any time before the closure of the NFO reject the ASBA application and inform the investor accordingly.
- 10. During processing of the application by the RTA, if the applications is found to be incomplete or incorrect, the SCSB will be informed on the same who will then unblock the investor account with appropriate remarks in the investor account
- 11. The names of the applicants, the manner of holding, the mode of holding in the application form should be exactly matching with the information available in the demat account. In case of any mismatch, incorrect or incomplete information, the application may be rejected by the SCSB or the Registrar & Transfer Agent.
- 12. All investor related details for allotment of units such as names of the applicants, manner of holding, mode of holding, bank account, etc will be updated as per the demat account.
- 13. The investors should check their demat account for allotment of units within 5 working days of the NFO closure. No physical account statement will be sent to the investors by Bajaj Finserv Mutual Fund or its registrar, KFin Technologies Limited (Formerly KFin Technologies Private Limited).

- 14. All grievances relating to the ASBA facility may be addressed to the AMC / RTA to the issue, with a copy to the SCSB, giving full details such as name, address of the applicants, subscription amount blocked on application, bank account number and the designated branch or the collection centre of the SCSB where the ASBA from was submitted by the investor.
- On the closure date of the NFO, the ASBA form should be submitted to the SCSBs before 3.00 p.m. or such other time as may be decided by respective SCSBs.
- Bajaj Finserv Mutual Fund or its Registrar, KFin Technologies Limited (Formerly KFin Technologies Private Limited) shall not be liable for any negligence or mistake committed by the SCSBs.
- 17. Further, Investors may please note that the Fund/ AMC and its empanelled brokers has not given and shall not give any indicative portfolio and indicative yield in any communication, in any manner whatsoever. Investors are advised not to rely on any communication regarding indicative yield/ portfolio with regard to the scheme.
- 18. Note on EUIN: Investors should mention the EUIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.
- Grounds for rejection of ASBA applications ASBA application forms can be rejected by the AMC/Registrar/SCSBs, on the following technical grounds:
 - i. Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane persons etc.
 - ii. Mode of ASBA i.e. either Physical ASBA or Electronic ASBA, not selected or ticked.
 - iii. ASBA Application Form without the stamp of the SCSB.
 - iv. Application by any person outside India if not in compliance with applicable foreign and Indian laws.
 - v. Bank account details not given/incorrect details given.
 - vi. Duly certified Power of Attorney, if applicable, not submitted alongwith the ASBA application form.
 - vii. No corresponding records available with the Depositories matching the parameters namely (a) $% \left({{\mathbf{x}_{i}}} \right)$

Names of the ASBA applicants (including the order of names of joint holders) (b) DP ID (c)

Beneficiary account number or any other relevant details pertaining to the Depository Account.

- viii. Insufficient funds in the investor's account.
- ix. Application accepted by SCSB and not uploaded on/with the Exchange / Registrar.

Details of Ultimate Beneficial Owner / Controlling Persons including Additional FATCA & CRS Information



(Only for Non Individuals)

Please tick the applicable tax resident declaration:

Name of th	ne entity													
Type of a	ddress given at KRA 🗌 I "Addres	Residential or Business as of tax residence would	Residen be taken as			gistered (In case c		nange,	please	e appro	bach KR	A & no	tify the	changes"
PAN		Date	e of Incorpor	ation D D	/ M M	/ Y	YY	Y						
City of Ind	corporation			Cour	try of Incorp	oration								
			ate Trust [ny 🗌 Publi] Religious Tr	c Limited Con ust 🗌 Tru:				Compa] Liqui		🗌 So	,		AOP / BOI Partnership
1. Is "E	ntity" a tax resident of	any country other tha	in India 📃 Y	'es No (If yes,	please provide cou	ntry/ies in wł	hich the ent	ity is a res	sident for	tax purpos	ses and the	associate	d Tax ID nu	mber below.)
	Country			Tax Identifica	tion Number [®]	5		Identi	ficatio	n Type	(TIN or	Other,	please	specify)
	ax Identification Number N or its functional equiva	, , ,				ber or Gl	obal Ent	tity Ide	entifica	ition Ni	umber o	or GIIN,	etc.	
In case th	e Entity's Country of Inco	orporation / Tax residenc	e is U.S. but	Entity is not a	Specified U.S	S. Person	n, mentio	on Ent	ity's ex	empti	on code	here		
	TIONAL KYC INFORMAT	ION												
	nual Income (₹)	Below 1 Lac 🗌 1 -	- 5 Lacs	🗌 5-10 Lac	s 🗌 1	0 - 25 La	CS	□ >:	25 Lac	s - 1 Cr	rore	\Box :	>1 Crore	9
OR Net - wor	th* (for Non-Individual)	₹			as on	DD	/ M	M	/ Y	Y	ΥY	(Not d	older th	an 1 year)
	Exposed Person (PEP) Status		d signatories/	Promoters/ Karta	/ Trustee/ Who	le time Dir	ectors)	🗌 PE	P [Relat	ed to Pl	EP 🗌] Not A	pplicable
	ity involved in any of the	mentioned services:	Foreign ex	change/ Mone	y changer [Gami	ng/ Gan	hbling,	/ Lotte	ry (Cas	sinos, b	etting s	syndica	tes)
Politically	ck as appropriate) Exposed Persons (PEPs) ents, senior politicians, se		been entrus		inent public f	unctions		reign c						
		· ·							ations		iportarii	politic	ai pai ty	oniciais.
	A & CRS Declaration (P be filled by Financial Institu			or for further gi	Jidance on FA	ICA & CR	S classifi	cation)						
We are a Financia	, I institution⁵ □	GIIN												
OR		Note: If you do not ha	ave a GIIN but y	ou are sponsored	by another entity	y , please p	rovide you	Ir spons	or's GIIN	above a	ind indica	te your s	ponsor's	name below
Direct re	porting NFE ⁶ 🗌 ick as appropriate)	Name of sponsoring entity												
	available													
(please t	ick as applicable) If the a financial institution,	□ Applied for □ Not	t required to	apply for - plea	ise specify 2	digits sub	o-catego	ory ⁷		□ N	ot obtain	ed - Nor	n-partici	pating FI
PART B (p	lease fill any one as appro			n Direct Repor	ting NFEs")									
1		traded company ¹ (that is a are regularly traded on arket)		Yes (If y Name of stoc	es, please spe k exchange	ecify any	one stoc	ck exch	nange o	on whic	ch the st	ock is r	egularly	y traded)
2	Is the Entity a related ent company²(a company wi		🗌 No	Yes 🗌 Secur	ty ISIN									
	traded on an established			Name of liste	d company									
				Nature of rela	tion: 🗌 S	Subsidiary	of the Li	isted C	compan	y or 🗌	Contr	olled by	a Listed	Company
				Name of stock e	xchange									
3	Is the Entity an active ³ NF	E	🗌 No	Yes 🗌	I									1
				Nature of Bus Please specif		tegory of	Active	NFE]				
4	Is the Entity a passive⁴NI		🗌 No	Yes 🗌										
	, - , - , - , - , - , - , - , - , - , -			Nature of Bus	iness									
¹ Refer 2a	of Page 4 ^{2.} Refer 2b of	Page 4 ^{3.} Refer 2c of Pag	ge 4 ⁴.Refer	· 3(ii) of Page 4	↓ ^{5.} Refer 1 of	Page 4	^{6.} Refer	3 (iv)	of Pag	e 4 ^{7.} F	Refer1A	of Paa	e 4	

BAJAJ FINSERV ASSET MANAGEMENT LIMITED

	Our company is a Listed Company on a recognized stock exchange in India / Subsidiary of a or Controlled by a Listed Company [If this category	is selected, r	10
_	need to provide UBO details].		

Name of the Stock Exchange where it is listed.				
Security ISIN				
Name of the Listed Company (applicable if the inv	vestor is subsidiary/associate):			
Unlisted Company Dartnership Firm / LLP	Unincorporated association / body of individuals	Public Charitable Trust	🗌 Private Trust	🗌 Religious Trust
□ Trust created by a Will □ Others	(please specify)			

ULTIMATE BENEFICIARY OWNER (UBO) / CONTROLLING PERSON(S) / SENIOR MANAGING OFFICIAL DETAILS.

Does your company/entity have any individual person(s) who holds direct / indirect controlling ownership above the prescribed threshold limit?

If 'YES' - We hereby declare that the following individual person holds directly / indirectly controlling ownership in our entity above the prescribe threshold limit. Details of such individual(s) are given below.

If 'NO' - declare that no individual person (directly / indirectly) holds controlling ownership in our entity above the prescribed threshold limit. Details of the individual who holds the position of Senior Managing Official (SMO) are provided below.

	UBO-1 / Senior Managing Official (SMO)	UBO-2	UBO-3
Name of the UBO/SMO#			
UBO / SMO PAN # For Foreign National, TIN to be provided]			
UBO / SMO Country of Tax Residency #			
UBO / SMO Taxpayer Identification Number / Equivalent ID Number #			
UBO / SMO Identity Type			
UBO / SMO Place & Country of Birth #	Place of Birth Country of Birth	Place of Birth Country of Birth	Place of Birth Country of Birth
UBO / SMO Nationality			
UBO / SMO Date of Birth [dd-mm-yyyy] #			
UBO / SMO PEP # Please tick (⁄⁄)	PEP Related to PEP Not a PEP	PEP Related to PEP Not a PEP	PEP Related to PEP Not a PEP
UBO / SMO Address Type Please tick (🗸)	Residence Business Registered Office	Residence Business Registered Office.	Residence Business Registered Office.
UBO / SMO Address [Include City, Pincode, State, Country]	Address: City: Pincode: State: Country:	Address: City: Pincode: State: Country:	Address: City: Pincode: State: Country:
UB0/SM0 Email ID			
UBO/SMO Mobile Number			

UBO/SMO Gender Please tick (√)	Male Female Others		Male Female Others		Male Female Others	
UBO/SMO Father's Name						
UBO / SMO Occupation Please tick (√)	Public Service Private Service Business Others (Please Specify)		Public Service Private Service Business Others (Please Specify)		Public Service Private Service Business Others (Please Specify)	
SMO Designation # UBO / SMO KYC Complied? Please tick (~)	☐ Yes / ☐ No. If 'Yes,' please attach acknowledgement. If 'No,' please complet independently and th the proof.	te KYC process	☐ Yes / ☐ No. If 'Yes,' please attach acknowledgement. If 'No,' please complet independently and the the proof.	e KYC process	☐ Yes / ☐ No. If 'Yes,' please attach acknowledgement. If 'No,' please complet independently and th the proof.	te KYC process

Mandatory column.

** In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.

Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.

Participating Mutual Fund(s) / RTA may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and valid declaration should be submitted again with all the required information.

DECLARATION / SIGNATURE WITH RELEVANT SEAL:

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/We am/are aware that I/We may be liable for it including any penalty levied by the statutory/legal/-regulatory authority. I/We hereby confirm the above beneficial interest after perusing all applicable shareholding pattern and MF/RTA/other registered intermediaries can make reliance on the same. I/We hereby authorize you [RTA/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries / or any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

Authorized Signatory	Authorized Signatory	Authorized Signatory
Name:	Name:	Name:
Designation:	Designation:	Designation:
Date D D / M M / Y Y Y	Place	

- Institution (FI) The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.
- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution is an entity that holds as a substantial portion of its business, holds financial
 assets for the account of others and where it's income attributable to holding financial assets and
 related financial services equals or exceeds 20 percent of the entity's gross income during the
 shorter of

(i) The three financial years preceding the year in which determination is made; or
 (ii) The period during which the entity has been in existence, whichever is less.

- Investment entity is any entity:
- That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer

 (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or

(ii) Individual and collective portfolio management, or

(iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;

or

The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:

(i) The three-year period ending on 31 March of the year preceding the year in which the determination is made;

or

(ii) The period during which the entity has been in existence.

The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06-refer point 2c.)

Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

FI not required to apply for GIIN:

A. Reasons why FI not required to apply for GIIN:

Code	Sub-category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Couvernmental Estity, International Organization or Control Pank
	a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors, Investment Managers& Executing Brokers
07	Exempt collective investment vehicle
08	Trustee of an Indian Trust
09	Fl with a local client base
10	Non-registering local banks
11	FFI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FFI

2. Non-financial entity (NFE)-Foreign entity that is not a financial institution Types of NFEs that are regarded as excluded NFE are:

a. Publicly traded company (listed company)

A company is publicly traded if its stock are regularly traded on one or more established securities markets

(Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)

b. Related entity of a publicly traded company

The NFE is a related entity of an entity of which is regularly traded on an established securities market

C. Active NFE: (is any one of the following):

Code Sub-category

- 01 Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
- 02 The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
- 03 Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding

stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;

- 04 The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
- 05 The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
- 06 The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;

07 Any NFE that fulfil all of the following requirements:

- It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;
- It is exempt from income tax in India;
- It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;

The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and

The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.

Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:

- (I) an Investor Protection Fund referred to in clause (23EA);
- (II) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and
- (III) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

3. Other definitions

(i) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

(ii) Passive NFE

The term passive NFE means

- (i) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or
- (ii) an investment entity defined in clause (b) of these instructions
- (ii) a withholding foreign partnership or withholding foreign trust;
- (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

(iii) Passive income

- The term passive income includes income by way of:
- (1) Dividends,
- (1) Dividend (2) Interest
- (3) Income equivalent to interest,
- (4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE
- (5) Annuities
- (6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income
- (7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,
- (8) The excess of foreign currency gains over foreign currency losses

(9) Net income from swaps

(10) Amounts received under cash value insurance contracts

- But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.
- (iv) Instructions on Controlling Persons / Ultimate Beneficial Owner

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/ UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

A. For Investors other than individuals or trusts:

(i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:

Instructions for FATCA & UBO (Contd.)

- more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.

- more than 10% of the capital or profits of the juridical person, where the juridical person is a partnership or or who exercises control through other means.

For the purpose of this clause, "Control" shall include the right to control the management or policy decision.

- more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

(ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.
 (iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

B. For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Provided that in case of a trust, the reporting entity shall ensure that trustees disclose their status at the time of commencement of an account-based relationship or when carrying out transactions as specified in clause (b) of sub-rule (1) rule 9.

C. Exemption in case of listed companies / foreign investors

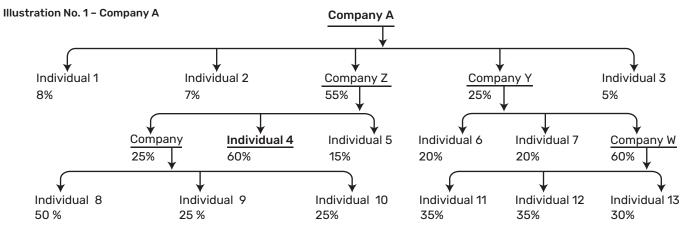
The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012 and other reculars issued from time to time, for the purpose of identification of beneficial ownership of the client.

D. KYC requirements

Beneficial Owner(s) / Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s) / SMO(s).

In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.

Sample Illustrations for ascertaining beneficial ownership:



For Applicant A, Individual 4 is considered as UBO as it holds effective ownership of 33% in Company A. Hence details of Individual 4 must be provided with KYC proof, Shareholding pattern of Company A, Z & Y to be provided along with details of persons of Company Y who are senior managing officials and those exercising control.



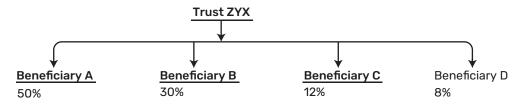
Illustration No. 2 - Partner ABC



For Partnership Firm ABC, Partners 1, 2, 3 and 4 are considered as UBO as each of them holds >=10% of capital. KYC proof of these partners needs to be submitted including shareholding.



Illustration No. 3 - Trustee ZYX



For Trust ZYX, Beneficiaries A, B and C are considered as UBO as they are entitled to get benefitted for >=10% of funds used. KYC proof for these beneficiaries needs to be submitted. Additionally, if they have nominated any person or group of persons as Settlor of Trust / Protector of Trust, relevant information to be provided along with the proof indicated.

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