

ETF TRANSACTION SLIP



Please read the instructions carefully and strike off any sections that are not relevant or not applicable.

Note: All field marked with asterisk (*) to be mandatorily filled.

Date

D	D	M	M	Y	Y	Y	Y
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Transaction Reference No.

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1. DISTRIBUTOR INFORMATION*

Broker Code/ ARN / RIA** / PMRN** Code	Sub Broker /Agent's ARN Code	Bank Branch Code	Internal Code for Sub - Agent / Employee	EUIN*	ISC Date Timestamp Reference No.

** ☐ By mentioning RIA/PMRN code, I/We authorize you to share with the Investment Adviser/ Portfolio Manager the details of my/our transactions in the scheme(s) of Bajaj Finserv Mutual Fund. (Please ✓ if applicable)

*In case the EUIN box has been left blank, please refer the point related to EUIN in the Declaration & Signatures section overleaf. Commission "if any applicable" shall be paid directly by the investor to the AMFI registered distributor, based on the investor's assessment of various factors, including the service rendered by the distributor.

2. UNIT HOLDER DETAILS

Folio No.:

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 TAX STATUS:

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 MODE OF HOLDING:

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Name of Sole / First Unitholder

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 PAN

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Name of Second Unitholder

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 PAN

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Name of Third Unitholder

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 PAN

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National Securities Depository Limited (NSDL)

Central Depository Services (India) Limited (CDSL)

DP Name: Axis Securities Ltd.

DP Name: Axis Securities Ltd.

DP ID

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 Beneficiary A/c No.

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 DP ID

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 Beneficiary A/c No.

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Enclosures - Please ☒ ☐ Client Masters List (CML) ☐ Transaction cum Holding Statement ☐ Delivery Instruction Slip (DIS)

(Applicable For New ETF Investors Only)

Correspondence Address

(Please provide full address)

Overseas Address

(Mandatory for NRI / FI Applicants)

Mobile No.

Email ID

3. SCHEME DETAILS

Additional Purchase (Please tick any one) ☐ Cash ☐ Basket ☐ Amount **Redemption** (Please tick any one) ☐ Cash ☐ Basket ☐ Units

Scheme Name	Basket Size	(In Figures)	(In Words)
Bajaj Finserv Nifty 50 ETF	50,000 ETF units	No. of Units	No. of Units
		Amount	Amount
Bajaj Finserv Nifty Bank ETF	50,000 ETF units	No. of Units	No. of Units
		Amount	Amount
Bajaj Finserv Nifty 1D Rate Liquid ETF	100 ETF units	No. of Units	No. of Units
		Amount	Amount

4. NUMBER OF UNITS

(Please refer Instruction No.1)

No. of Creation Baskets

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No. of ETF Units

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5. PAYMENT DETAIL

Name of the Bank

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Account No.

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 Account Type ☐ SB ☐ CA ☐ SB-NRE ☐ SB-NRO ☐ Others (Please Specify)

Bank Branch

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 Cheque / DD / UTR No.

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Mode of Payment: ☐ Cheque ☐ DD ☐ RTGS/NEFT [§] IFSC Code for NEFT / RTGS

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[§]This is an 11 Digit Number, kindly obtain it from your cheque copy or Bank Branch.

LEI Code

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 Valid upto

D	D	M	M	Y	Y	Y	Y
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 (Legal Entity Identifier Number is Mandatory for transaction value of INR 50 crore and above for Non-Individual investors. Refer instruction no. 8)

ACKNOWLEDGEMENT SLIP

Folio No.:

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☐ Additional Purchase ☐ Redemption Date:

D	D	M	M	Y	Y	Y	Y
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Name of the Investor.:

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Scheme:

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 Amount (₹) :

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 or Units:

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Cheque No:

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 Cheque Date:

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6. DECLARATION AND SIGNATURE(S)

I/We would like to invest in Bajaj Finserv Mutual Fund, subject to terms of the statement of Additional Information (SAI) and Scheme Information Document (SID) and subsequent amendment thereto. I/We have read, understood (before filing application form) and is/are bound to the details of the SAI and SID. I/We have not received nor been induced by any rebate or gift, directly or indirectly, in making this investment. I accept and agree to be bound by the said Terms and Conditions including those excluding / limiting the Bajaj Finserv Asset Management Company Limited (BFAMC) liability. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I hereby declare that the above information is given by the undersigned and particulars by me/us are correct and complete.

Signature(s) (To be signed as per Mode of Holding in the Depository participants end)

Sign of 1st Unitholder / Guardian /
Authorised Signatory / POA

Sign of 2nd Unitholder /
Authorised Signatory / POA

Sign of 3rd Unitholder /
Authorised Signatory / POA

INSTRUCTIONS FOR ETF TRANSACTION SLIP

1) Minimum Number of Units: Subscription/Redemption of units directly with the Fund can be made only in creation unit size and in multiples thereafter.

Scheme Name	Basket Size
Bajaj Finserv Nifty 50 ETF	50,000 ETF units
Bajaj Finserv Nifty Bank ETF	50,000 ETF units
Bajaj Finserv Nifty 1D Rate Liquid ETF	100 ETF units

2) DPID Details:

DP	CDSL	NSDL
DP Name	Axis Securities Ltd.	Axis Securities Ltd.
DP ID	12049200	IN304295
Client ID	07810997	53544810
Client Name	Bajaj Finserv Mutual Fund	Bajaj Finserv Mutual Fund

Mutual Fund investments are subject to market risks. read all scheme related documents carefully.

TOLL FREE NUMBER: 1800 309 3900 | EMAIL: service@bajajamc.com | WEBSITE: https://www.bajajamc.com